

ERGODIC RAMSEY THEORY

Assignment 2- Due: February 28

(1) Let $\alpha \in \mathbb{R}$ and $f: \mathbb{T} \rightarrow \mathbb{R}$ be measurable. Define $T: \mathbb{T}^2 \rightarrow \mathbb{T}^2$ by

$$T(x, y) = (x + \alpha, y + f(x)) \pmod{1}.$$

Prove that the measure preserving system $(\mathbb{T}^2, \mathcal{B}, \mu, T)$ (μ is the Haar measure on \mathbb{T}^2) is ergodic if and only if α is irrational *and* for every $m \in \mathbb{N}$ the functional equation

$$mf(x) = h(x + \alpha) - h(x) \pmod{1}$$

has no measurable solution $h: \mathbb{T} \rightarrow \mathbb{R}$.

(2) For $x \in [0, 1)$ let $a_1(x), a_2(x), \dots$ denote the coefficients of the continued fraction expansion of x . Show that for (Lebesgue) a.e. $x \in [0, 1)$ the harmonic mean of its coefficients, i.e. the limit

$$\lim_{n \rightarrow \infty} \frac{n}{\frac{1}{a_1(x)} + \dots + \frac{1}{a_n(x)}},$$

exists. Express the limit as a series series.

(3) (i) Let (X, \mathcal{B}, μ, T) be a measure preserving system and let $A \in \mathcal{B}$ with $\mu(A) > 0$. Show that for a.e. $x \in A$ the set of n 's for which $T^n x \in A$ has positive limiting frequency, i.e.

$$\lim_{N \rightarrow \infty} \frac{|\{1 \leq n \leq N: T^n x \in A\}|}{N} > 0.$$

(Notice that this is a strengthening of Problem 3 in HW 1.)

(ii) Is it true that for a.e. $x \in A$ the set of return times

$$\Lambda_x = \{n \in \mathbb{N}: T^n x \in A\}$$

has bounded gaps? (Compare your answer with the statement of Problem 2 in HW 1.)

(4) We say that an integer sequence $(a_n)_{n \in \mathbb{N}}$ is *good for L^2 -convergence* if for every measure preserving system (X, \mathcal{B}, μ, T) and $f \in L^2(\mu)$ the averages

$$\frac{1}{N} \sum_{n=1}^N f(T^{a_n} x)$$

converge in L^2 as $N \rightarrow \infty$.

(i) For $f \in L^2$ and $M, N \in \mathbb{N}$ show that

$$\left\| \frac{1}{N} \sum_{n=1}^N f(T^{a_n} x) - \frac{1}{M} \sum_{n=1}^M f(T^{a_n} x) \right\|_{L^2(\mu)} = \left\| \frac{1}{N} \sum_{n=1}^N e^{2\pi i a_n t} - \frac{1}{M} \sum_{n=1}^M e^{2\pi i a_n t} \right\|_{L^2(\sigma_f)},$$

where σ_f is the spectral measure of f .

(ii) Show that an integer sequence $(a_n)_{n \in \mathbb{N}}$ is good for L^2 -convergence if and only if the limit

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N e^{2\pi i a_n t}$$

exists for every $t \in [0, 1)$.

(iii) Conclude that if p is a polynomial with integer coefficients then the sequence $(p(n))_{n \in \mathbb{N}}$ is good for L^2 -convergence.

(5) Follow the argument we used to prove the theorem of Furstenberg and Sárközy to prove the following result of Kamae & Mendès-France:

“Let $(r_n)_{n \in \mathbb{N}}$ be a sequence of positive integers that satisfies:

(i) The sequence $(r_n \alpha)_{n \in \mathbb{N}}$ is uniformly distributed in \mathbb{T} for every $\alpha \in \mathbb{R} \setminus \mathbb{Q}$.

(ii) The set $\{n \in \mathbb{N} : d|r_n\}$ has positive density for every $d \in \mathbb{N}$.

Then $R = \{r_1, r_2, \dots\}$ is a set of recurrence (or equivalently, an intersective set).”¹

¹Notice that this result easily implies the Furstenberg-Sárközy theorem. Moreover, using classical results about the prime numbers one can also conclude that the set of shifted primes $\{p-1 : p \text{ prime}\}$ is a set of recurrence (that’s another result of Sárközy). It is probably true, but not proven yet, that the set of shifted primes is actually good for k -recurrence for every $k \in \mathbb{N}$.

(6) (i) Let α be irrational. Show that for every measure preserving system (X, \mathcal{B}, μ, T) and $f \in L^2(\mu)$ we have

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \left(e^{2\pi i n^2 \alpha} \int \bar{f} \cdot T^n f \, d\mu \right) = 0.$$

(ii) Use part (i) to show that if α is irrational then the set

$$R = \{n \in \mathbb{N} : \{n^2 \alpha\} \in [1/2, 3/4]\}$$

is a set of recurrence.

(iii) Show that the set $R^2 = \{r^2 : r \in R\}$ is *not* a set of recurrence and R is *not* a set of 2-recurrence (see HW 1 for the definitions).²

Remark: For Problems 4 and 6 feel free to use the following fact (we are going to prove it later): If p is a polynomial with at least one non-constant coefficient irrational then

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N e^{2\pi i p(n)} = 0.$$

²Using a somewhat more complicated argument it is possible to show that the set $R = \{n \in \mathbb{N} : \{n^{k+1} \alpha\} \in [1/2, 3/4]\}$ is a set of k -recurrence but *not* a set of $(k+1)$ -recurrence. Also, similar ideas can be used to show the following: Given any set $G \subset \mathbb{N}$ there exists a set R such that: $R^g = \{r^g : r \in R\}$ is a set of recurrence if and only if $g \in G$.